

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

766.20

APPLICATION NO. (If known, see 37 C.F.R.1.5)

**09/068528**

INTERNATIONAL APPLICATION NO.  
PCT/JP97/03226

INTERNATIONAL FILING DATE  
September 12, 1997

PRIORITY DATE CLAIMED  
September 17, 1996

**TITLE OF INVENTION**

**PROCESSSES FOR PRODUCING SUGAR NUCLEOTIDES AND COMPLEX CARBOHYDRATES**

**APPLICANT(S) FOR DO/EO/US**

**SATOSHI KOIZUMI ET AL.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the application time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Sixteen (16) sheets of formal drawing; Submission of Corrected Formal Drawing (1 sheet); Forms PCT/RO/101; PCT/IB/304; PCT/ISA/210 (International Search Report); Cover Page of Published PCT Application WO98/12343; Request for Rectification of Obvious Error; Notification of Decision Concerning Request for Rectification; Rectified Pages in English Text According to Request for Rectification of Obvious Error.

PTO USE ONLY


International preliminary examination fee paid to USPTO (37 CFR 1.492 (a)(4)) and all claims satisfied provisions of PCT Article 33(1)-(4)	\$98.00
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\$	930.00	
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<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 3,884.00</b>
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\$	40.00
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\$	3,924.00	
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**charged**

- NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

NAME \_\_\_\_\_

REGISTRATION NUMBER

766.20

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
SATOSHI KOIZUMI ET AL. ) Examiner: Not Yet Assigned  
Application No.: N/Y/A )  
(Based on PCT/JP97/03226, )  
filed: September 12, 1997) ) Group Art Unit: N/Y/A  
Filed: Concurrently Herewith )  
For: PROCESSES FOR PRODUCING )  
SUGAR NUCLEOTIDES AND )  
COMPLEX CARBOHYDRATES ) May 12, 1998

Assistant Commissioner for Patents  
Washington, D.C. 20231

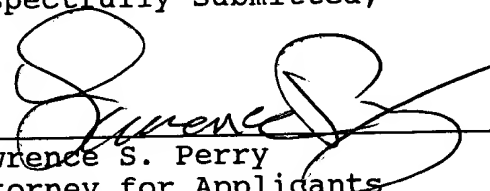
LETTER TRANSMITTING CORRECTED DRAWING

Sir:

Transmitted herewith is one (1) sheet of formal drawing containing Figure 4 to be substituted for the corresponding drawing sheet presently on file in the above-identified application.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 758-2400. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
Lawrence S. Perry  
Attorney for Applicants  
Registration No. 31,865

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